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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION III
841 Chestnut Building
Philadelphia, Pennsylvania 19107-4431

CERTIFIED MAIL

AUG 30 1995

Ms. Vicki Smedley
Chief Executive Officer
A.I.R.
P.O. Box 166
Lock Haven, PA 17745

RE: 1-993370-01
Technical Assistance Grant Program

Dear Ms. Smedley:

The Agency has approved your Technical Assistance Grant Program assistance application and an award of \$50,000 in support of the above referenced program.

This award is made subject to the terms and conditions of the enclosed Assistance Agreement. The original of the Agreement should be signed and returned to Francis R. Snock, Grants Management Officer, Grants and Audit Management Branch (3PM70), within twenty-one days of your receipt. The copy should also be signed and retained for your files.

If any additional assistance is required, please have your staff contact Amelia Libertz, EPA Project Officer, at 215/597-9817 on technical matters, or Mary Zielinski, Grants Specialist, at 215/597-6795 on administrative matters.

Sincerely,

James W. Newman
William T. Wisniewski
Assistant Regional Administrator
for for Policy and Management

Enclosure

AR501009

U.S. ENVIRONMENTAL PROTECTION AGENCY EPA ASSISTANCE AGREEMENT / AMENDMENT PART I - ASSISTANCE NOTIFICATION INFORMATION					1. ASSISTANCE ID NO. 1 993370-01-0		2. LOG NUMBER 03-1 -0003				
					3. DATE OF AWARD AUG 23 1995		4. MAILING DATE AUG 30 1995				
5. AGREEMENT TYPE			6. PAYMENT METHOD								
Cooperative Agreement			<input type="checkbox"/> Advance <input checked="" type="checkbox"/> Reimbursement <input type="checkbox"/> ACH Number								
Grant Agreement			X			Send Payment Request to:			7. TYPE OF ACTION		
Assistance Amendment						GRANTS MGMT OFFICER (3PM70)			NEW PROJECT		
R E C I P I E N T O R G A N I Z A T I O N	8. RECIPIENT ARREST THE INCINERATOR REMEDIATION A.I.R. P.O. BOX 166 LOCK HAVEN, PA 17745				9. PAYEE SAME						
	EIN NO. 232779785		CONGRESSIONAL DISTRICT 23		10. RECIPIENT TYPE NON-PROFIT ORGANIZATION						
	11. PROJECT MANAGER AND TELEPHONE NO. LUCY MCLAUGHLIN (717) 748-3635				12. CONSULTANT (WWT Construction Grants only) N/A						
E P A C O N T A C T	13. ISSUING OFFICE (CITY / STATE) US ENVIRONMENTAL PROTECTION AGENCY GRANTS AND AUDIT MGMT BR US EPA, REGION 3, 3PM70 841 CHESTNUT BLDG PHILADELPHIA, PA 19107				14. EPA PROJECT / STATE OFFICER AND TELEPHONE NO. AMELIA LIBERTZ, (3HW02) (215) 597-9817						
	15. EPA CONGRESSIONAL LIAISON & PHONE BARBARA BROOKS, (202) 260-5660		16. STATE APPL ID (Clearinghouse)		17. SCIENCE FIELD NA		18. PROJECT STEP (WWT Construction Grants Only) N/A				
19. STATUTORY AUTHORITY CERCLA: SEC. 117(e)			20. REGULATORY AUTHORITY 40 CFR PTS 30, 33, 35 SUBPT M		21. STEP 2 + 3 & STEP 3 (WWT Construction Grants Only)						
					a. Treatment Level						
					b. Project Type		N/A				
					c. Treatment Process						
					d. Sludge Design						
22. PROJECT TITLE AND DESCRIPTION TECHNICAL ASSISTANCE GRANT (TAG)											
23. PROJECT LOCATION (Areas Impacted by Project)											
City / Place CLINTON COUNTY			County CLINTON		State PA		Congressional District 23				
24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.806: Superfund Technical Assistance Grants				25. PROJECT PERIOD 09/01/95 - 08/30/98			26. BUDGET PERIOD 09/01/95 - 08/30/98				
27. COMMUNITY POPULATION (WWT Construction Grants Only) N/A			28. TOTAL BUDGET PERIOD COST \$62,500			29. TOTAL PROJECT PERIOD COST \$62,500					
FUNDS		FORMER AWARD		THIS ACTION			AMENDED TOTAL				
30. EPA Amount This Action		\$0		\$50,000							
31. EPA In-Kind Amount		0		0							
32. Unexpended Prior Year Balance		0		0							
33. Other Federal Funds		0		0							
34. Recipient Contribution		0		12,500							
35. State Contribution		0		0							
36. Local Contribution		0		0							
37. Other Contribution		0		0							
38. Allowable Project Cost		\$0		\$62,500							
F I S C A L	Site Name		Document Control Number	FY	Approp.	Budget Organization	Program Element	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
	01) DRAKE CHEMICAL		SF0086	95	5T	03S	TFA	4185	0331Z	N/A	50,000

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PART II - APPROVED BUDGET

SITE NAME: DRAKE CHEMICAL

ASSISTANCE IDENTIFICATION: 1 993370-01-0

TABLE A - OBJECT CLASS CATEGORY (Non-construction)	TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST
PERSONNEL	\$0
FRINGE BENEFITS	0
3. TRAVEL	0
4. EQUIPMENT	0
5. SUPPLIES	0
6. CONTRACTUAL	50,000
7. CONSTRUCTION	0
8. OTHER	12,500
9. TOTAL DIRECT CHARGES	\$62,500
10. INDIRECT COSTS: RATE % BASE	0
11. TOTAL (Share: Recipient <u>20.00</u> % Federal <u>80.00</u> %)	\$62,500
12. TOTAL APPROVED ASSISTANCE AMOUNT	\$50,000
TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction)	
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
11.	
12. TOTAL (Share: Recipient _____ % Federal _____ %.)	
13. TOTAL APPROVED ASSISTANCE AMOUNT	
TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction)	
1. ADMINISTRATION EXPENSE	
2. PRELIMINARY EXPENSE	
3. LAND STRUCTURES, RIGHT-OF-WAY	
4. ARCHITECTURAL ENGINEERING BASIC FEES	
5. OTHER ARCHITECTURAL ENGINEERING FEES	
6. PROJECT INSPECTION FEES	
7. LAND DEVELOPMENT	
8. RELOCATION EXPENSE	
9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS	
10. DEMOLITION AND REMOVAL	
11. CONSTRUCTION AND PROJECT IMPROVEMENT	
12. EQUIPMENT	
13. MISCELLANEOUS	
14. TOTAL (Lines 1 thru 13)	
15. ESTIMATED INCOME (if applicable)	
16. NET PROJECT AMOUNT (Line 14 minus 15)	
LESS: INELIGIBLE EXCLUSIONS	
ADD: CONTINGENCIES	
19. TOTAL (Share: Recipient _____ % Federal _____ %.)	
20. TOTAL APPROVED ASSISTANCE AMOUNT	

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1. The recipient shall request reimbursement of eligible costs by submitting a Request for Advance or Reimbursement (Form SF-270) on a quarterly basis. Requests should be submitted to the Grants Management Officer, EPA, Grants and Audit Management Branch (3PM70).
2. The recipient agrees to submit to EPA a Financial Status Report (SF-269 or 269A) within 90 days after the close of each budget period.

If the budget period is longer than one year, the report must be submitted annually, based on the anniversary date of the award.

3. The recipient must ensure to the fullest extent possible that at least a "Fair Share" of 8% of Federal funds for prime contracts or subcontracts for supplies, construction, equipment or services are made available to organizations owned or controlled by socially and economically disadvantaged individuals, women and historically black colleges and universities.

The recipient agrees to include in its bid documents the negotiated "Fair Share" percentages and require all of its prime contractors to include in their bid documents for subcontracts the negotiated "Fair Share" percentages.

To evaluate compliance with the "Fair Share" policy, the recipient also agrees to comply with the six affirmative steps stated in 40 CFR Section 33.240, 31.36(e) or 35.6580(a), as appropriate.

4. The recipient agrees to submit a SF-334, "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements, and other Federal Financial Assistance", to the EPA award official within 30 days of the end of each Federal fiscal year, i.e., by October 31st of each year.
5. If a contract is awarded under this assistance agreement, the recipient agrees and is required to utilize the following affirmative steps:
 - a. placing Small Businesses in Rural Areas (SBRAs) on solicitation lists;
 - b. making sure that SBRAs are solicited whenever they are potential sources;
 - c. dividing total requirements, when economically feasible, into small tasks or quantities to permit maximum participation by SBRAs;
 - d. establishing delivery schedules, where the requirements of work will permit, which would encourage participation by SBRAs;
 - e. using the services of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce, as appropriate; and
 - f. requiring the contractor to take the affirmative steps in subparagraphs a. through e. of this part if subcontracts are awarded.

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6. In accordance with the provisions of 40 CFR Part 32, the recipient is advised that EPA will not participate in awards made to contractors that have been debarred or suspended by EPA. The recipient is responsible for ensuring that all contractors hired under a subagreement have not been debarred or suspended.
7. Pursuant to EPA Order 1000.25, dated January 24, 1990, the recipient agrees to use recycled paper for all reports which are prepared as a part of this agreement and delivered to the Agency. This requirement does not apply to Standard Forms. These forms are printed on recycled paper as available through the General Services Administration.
8. The recipient agrees to ensure that all conference, meeting, convention, or training space funded in whole or in part with Federal funds, complies with the Hotel and Motel Fire Safety Act of 1990.
9. The recipient agrees to comply with the requirements of OMB Circular A-133, "Audits of Institutions of Higher Education and Other Non-Profit Organizations".
10. The recipient agrees to comply with the procurement provisions listed in 40 CFR Part 35.4066.

In accordance with 40 CFR 35.4067, the recipient agrees to submit all proposed contracts greater than \$1,000, prior to their award or amendment, to the EPA Project Officer for review.

11. The recipient agrees to submit quarterly progress reports to the EPA Project Officer 45 days after the end of each calendar quarter. These reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and project milestones. Any special problems encountered must be explained. Copies of any final written documents produced by the technical advisor should be attached.
12. The recipient agrees to submit, to the EPA Project Officer, a draft of the final report for review no later than 90 days prior to the end of the project, and a final report within 90 days of the end of the project. The final report shall document project activities over the entire period of grant support and shall describe the recipient's achievements with respect to stated technical assistance grant project purposes and objectives.
13. The recipient agrees to sponsor activities to inform interested community members about the site. The group must take action to disseminate information developed under the Technical Assistance Program (TAG) to the general public, either through newsletters, publications, personal contact, or public meetings.

The recipient agrees to provide the EPA Community Relations Coordinator (CRC) with copies of all information materials distributed by

SPECIAL CONDITIONS (continued)

the group, in a timely manner. This is to aid the CRC in supplementing the group's outreach activities.

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administration Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the ARREST THE INCINERATOR REMEDIATION for 80.00 % of all approved costs incurred up to and not exceeding \$ 50,000 for the support of approved budget period effort described in application (including all application modifications) cited in Item 22 of this Agreement

RECIPIENT ORGANIZATION
ASSISTANCE AMOUNT

04/09/95 TECHNICAL ASSISTANCE GRANT (TAG), included herein by reference.
DATE AND TITLE

ISSUING OFFICE (Grants Administration Office)

ORGANIZATION / ADDRESS
GRANTS AND AUDIT MGMT BR
US EPA, REGION 3, 3PM70
841 CHESTNUT BLDG
PHILADELPHIA, PA 19107

AWARD APPROVAL OFFICE

ORGANIZATION / ADDRESS
ARA FOR POLICY AND MGMT
US EPA, REGION 3, 3PM00
841 CHESTNUT BLDG
PHILADELPHIA, PA 19107

THE UNITED STATES OF AMERICA BY THE U.S ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL <i>James W. Newman</i>	TYPED NAME AND TITLE WILLIAM T. WISNIEWSKI for ARA FOR POLICY AND MANAGEMENT	DATE AUG 23 1995
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This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE	TYPED NAME AND TITLE
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